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TO: Attn: Examiner Christopher Yaen

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Please deliver to: Group 1642, Examiner Christopher Yaen

FAX NO.: 1-703-308-4242

FROM: Adriane M. Antler  
Michael J. Ryan

PAGES: 28 + Cover Sheet

DATE: July 11, 2003

Application of: Pramod K. Srivastava

Confirmation No.: 9970

Serial No.: 09/992,613

Filed: November 14, 2001

For: IMMUNOTHERAPEUTIC STRESS PROTEIN-PEPTIDE  
COMPLEXES AGAINST CANCER

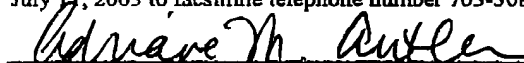
Attorney Docket No.: 8449-183-999

Transmitted herewith for filing are: (1) Response to Notice of Non-Compliant Amendment, and (2) a Substitute Second Preliminary Amendment with Exhibits A and B.

If you have any problem with your reception, please telephone the sender Michael J. Ryan at (212) 790-6447.

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on July 11, 2003 to facsimile telephone number 703-308-4242.

  
Adriane M. Antler

32,605  
(Reg. No.)

NY2: 1446963.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Confirmation Number: 9970

Serial No.: 09/992,613

Group Art Unit: 1642

Filed: November 14, 2001

Examiner: Christopher Yaen

For: IMMUNOTHERAPEUTIC STRESS  
PROTEIN-PEPTIDE COMPLEXES  
AGAINST CANCER

Attorney Docket No.: 8449-183-999

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT  
(VOLUNTARY REVISED PRACTICE)

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment mailed on June 24, 2003, Applicants submit herewith a Substitute Second Preliminary Amendment Under 37 C.F.R. § 1.115, originally filed on June 9, 2003, in the above-identified application.

A complete listing of all claims, in ascending numerical order and including the appropriate status identifiers, is now present in the substitute Second Preliminary Amendment.

No fee additional to that authorized to be charged via the Fee Transmittal Sheet filed on June 9, 2003, is believed due for filing this response. However, if a fee is due, please charge such fee to Pennie & Edmonds LLP's Deposit Account No. 16-1150.

Respectfully submitted,

Date: July 11, 2003

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)  
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CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on July 11, 2003 to facsimile telephone number 703-308-4242.

Adriane M. Antler  
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32,605  
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Amdt G/#7  
7.22.03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Srivastava, Pramod K.

Application No.: 09/992,613

Group Art Unit: 1642

Filed: November 14, 2001

Examiner: Christopher Yaen

For: IMMUNOTHERAPEUTIC STRESS  
PROTEIN-PEPTIDE COMPLEXES  
AGAINST CANCER

Attorney Docket No.: 8449-183-999

Confirmation Number: 9970

SUBSTITUTE SECOND PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Prior to examination of the above-captioned application, please enter the following amendments and consider the following remarks. Applicants submit herewith Exhibits A and B.

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on July 11, 2003 to facsimile telephone number 703-308-4242.

  
Adriane M. Antler32,605  
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01 FC:2201 210.00 DA  
02 FC:2202 1035.00 DA